

# Covert Rate Study for Full-Duplex D2D Communications Underlaid Cellular Networks

Yihuai Yang, Bin Yang, Shikai Shen, Yumei She, and Tarik Taleb

**Abstract**—Device-to-device (D2D) communications underlaid cellular networks have emerged as a promising network architecture to provide extended coverage and high data rate for various Internet of Things (IoT) applications. However, because of the inherent openness and broadcasting nature of wireless communications, such networks face severe risks of data privacy disclosure. This paper investigates the covert communications in such networks for providing enhanced privacy protection. Specifically, this paper explores the critical covert rate performance in a full-duplex D2D communication underlaid cellular network consisting of a base station, a cellular user, a D2D pair with a transmitter and a full-duplex receiver, and a warden, where the D2D receiver can operate over either the full-duplex (FD) mode or the half-duplex (HD) mode. We first derive transmission outage probabilities of cellular and D2D links under the FD and HD modes, respectively. Based on these probabilities, we further provide theoretical modelling for the covert rate under each mode and explore the corresponding covert rate maximization by jointly optimizing the transmit powers of the D2D pair and the cellular user. To improve the covert rate performance, we propose a general mode in which the D2D receiver can flexibly switch between these two modes. Under the general mode, we also investigate the theoretical modelling and maximization problems of covert rate. Finally, we present extensive numerical results to illustrate the covert rate performances under the FD, HD, and general modes.

**Keywords**—D2D communications, covert rate, half-duplex, full-duplex.

## I. INTRODUCTION

The explosive growth of data traffic from massive Internet of Things (IoT) devices has placed an extremely heavy burden on cellular networks [1]. To address this challenge, D2D communications underlaid cellular networks (DCNTs), which enable direct communications between nearby devices, have

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been identified as a promising technology to meet the rapidly growing data demand, in terms of increasing spectral efficiency, reducing latency, improving data rate, extending coverage, and enhancing power efficiency [2]–[4]. Such networks are opening new opportunities for IoT and also vehicle-to-vehicle (V2V) communications. However, due to the inherent features of wireless channels (e.g., broadcast and openness), the networks are facing serious security risks like eavesdropping attacks and privacy violations and thereby hindering their widespread deployment [5].

Covert communications, which intend to hide the existence of wireless communications, have emerged as exciting security technology to preserve users' privacy and also prevent wireless transmission content from being eavesdropped [6]. Such a security technology is highly appealing [7], especially when the DCNTs are deployed to provide some critical services, such as military, healthcare and location tracking. In these services, if an adversary cannot decide whether wireless communications occur or not, he does not know the transmitter's location achieving a higher level of privacy protection for the transmitter, and also has no opportunity to launch an eavesdropping attack. In DCNTs, the covert rate is a fundamental performance metric to measure the achievable data rate of covert communications. Therefore, it is of paramount importance to explore the covert rate in DCNTs.

By now, the covert rate is extensively studied in single-hop and two-hop/multiple-hop wireless networks (See Section II of Related Work). Notice that these results in the above works without the support of the base station (BS) cannot be applied to DCNTs because of the inherent characteristics (e.g., spectrum sharing, interference) of such networks. Some initial works have been devoted to the study of covert communications in DCNTs [8]–[10]. By sharing the same spectrum resource between cellular and D2D links, a transmit power control scheme for cellular and D2D transmitters was proposed in [8] with the goal of covert rate maximization of the D2D link. Using the dedicated orthogonal spectrum resource for D2D link, the work in [9] proposed a joint scheme of relay selection and transmit power control of D2D transmitters to maximize the covert rate of cellular links. The work in [10] utilized jamming signals generated from an antenna array of BS to confuse the decision of the warden aiming to achieve covert communications of D2D link. An energy-efficient transmit probability-power control scheme for covert D2D communication was presented in the work [11], which takes into account the requirements with energy consumption and information freshness. Authors in [12] investigated covert communications in the scenario of multiple wardens in D2D

communications underlaid cellular networks. The work in [13] utilized the spectrum reusing technology to implement covert communications for D2D content sharing, based on the trust evaluation mechanism. The authors in [14] further investigated the content delivery mode selection and resource management for covert communications.

It is notable that the half-duplex (HD) and full-duplex (FD) are two fundamental communication modes. Under the HD mode, a receiver only receives messages from a transmitter at a specific time, while under the FD mode, the receiver can receive messages and send artificial noise for ensuring covertness simultaneously. In comparison with HD mode, such an FD mode can enhance covertness but may reduce the covert rate of D2D links due to the impact of self-interference. However, all aforementioned works in DCNTs consider the D2D receiver (DR) operates in HD mode. To fully address the advantages of the HD and FD modes, an important issue is how to conduct a joint study on these two modes. As a step towards this direction, this paper designs a general mode to flexibly switch between the HD and FD modes for achieving covert rate improvement. Meanwhile, we also model the covert rate performance under the HD, FD, and general modes, respectively. Our study is of great importance to support security-sensitive applications in the sixth generation (6G) wireless networks, where a large number of wireless IoT devices can realize not only the short-range transmission of privacy data (e.g., patient's health information and financial data) but also the remote data transmission with the help of BS.

The contributions of this paper are summarized as follows.

- We first derive transmission outage probabilities of cellular and D2D links under the FD mode. Based on these probabilities, we model the covert rate of DCNT and further formulate the maximum covert rate as an optimization problem with the constraints of covertness requirement and the transmit powers of DT, DR and cellular equipment (CE). We solve the optimization problem by optimizing these transmit powers.
- We also derive the transmission outage probabilities of cellular and D2D links under the HD mode, model the covert rate and further, provide the formulation for the covert rate maximization problem with the constraints of covert requirement and the transmit powers of DT and CE. By optimizing these powers, we obtain the maximum covert rate with careful consideration of the self-interference issue.
- We further propose a general model, where DR can flexibly switch between the FD and HD modes for improving the covert rate performance. Under such a general model, we also explore the theoretical covert rate and its maximization problem.
- Extensive numerical results are presented to illustrate the covert rate and its maximum value by optimal power control under the FD, HD and general modes.

The rest of this work is organized as follows. In Section II, we present the system model. Detection performance at the warden is studied in Section III. In Section IV and V, we

develop theoretical models on covert rate and related maximization problem under the FD and HD modes, respectively. The general mode is proposed in Sections VI. Section V provides numerical results. Section VIII concludes this paper. The abbreviations and notations used in this paper are given in Table I

TABLE I: Abbreviation and Notations

Abbreviation /Notations	Description
D2D	Device-to-device
V2V	Vehicle-to-vehicle
IoT	Internet of Things
AWGN	Additive White Gaussian noise
FD	Full-duplex
HD	Half-duplex
DT	D2D transmitter
DR	D2D receiver
BS	Base station
WD	Warden
CE	Cellular equipment
C2B	Transmission from CE to BS
$h_{ij}$	Channel fading coefficient between nodes $i$ and $j$
$\lambda_{ij}$	Exponential parameter for channel $h_{ij}$
$\phi$	Self-interference cancellation coefficient
$\varepsilon$	Covertness requirement
$P_{\max}$	The maximum transmit power of DR
$P_r, P_t, P_c$	Transmit powers of DR, DT and CE, respectively
$\sigma_w^2, \sigma_r^2, \sigma_b^2$	Noise variances at WD, DR and BS, respectively
$\delta_r^{FD}, \delta_b^{FD}$	Transmission outage probabilities at DR and BS under FD mode, respectively
$\delta_r^{HD}, \delta_b^{HD}$	Transmission outage probabilities at DR and BS under HD mode, respectively
$R_{FD}, R_{HD}$	Desired rates under FD and HD modes, respectively

## II. RELATED WORK

For the single-hop wireless networks, a positive covert rate was proved to be achievable when the warden receives uncertain noise [15], [16], uncertain channel state information [17]–[19], and jamming signals [20]–[22]. Moreover, the work in [23] proposed joint design algorithms based on a penalty decomposition technique in a multi-antenna Willie scenario to achieve the improvement of covert rates performance, while a multi-antenna Alice Discrete-Time AWGN channel model was applied in [24] to achieve a positive covert rate without any uncertainty or jammer. Besides, the studies of covert communications have been extended to millimetre waves and Terahertz. The work in [25] adopted a beam training approach to establish a covert mmWave directional link and optimized the vital system parameters to maximize the covert rate. The work in [26] designed the covert beam training and covert data transmission in a multi-user mmWave communication system to maximize the covert rate. The work of [27] explored the beamforming scheme based on a random frequency diverse array to enhance the performance of covert mmWave communications. In [28], a novel distance adaptive absorption peak modulation was proposed to enhance the covertness of the Terahertz (THz) covert communications. The work in [29] studied the optimality of Gaussian signalling for covert communications with the covertness constraint of the upper bound of Kull back–Leibler divergence. Regarding

a finite number of channel uses, the work in [30] examined the delay-intolerant covert communications with fixed or random transmit power. Recently, regarding the space-air-ground integrated vehicular networks, the work in [17] applied improper Gaussian signalling techniques to reduce the co-channel interference and improve the covert rate performance of such networks. The work in [31] further explored the covert communications of unmanned aerial vehicles (UAVs) by joint optimization of their flying location and transmit power. The authors in [32]–[34] studied the covert video surveillance of ground-moving targets based on a UAV or a UAV team, while concealing the existence and movement of the UAVs from the targets’ visual system. A three-dimensional (3D) location-based beamforming (LBB) scheme was proposed over Rician channel to maximize the covert rate in [35]. The work in [36] initially focused on the timelessness of warden’s detection in the binary hypothesis test framework. The work of [37] investigated the optimum strategy of hiding the covert information onto the non-orthogonal multiple access (NOMA) information.

For the two-hop wireless networks, with the help of a relay, the positive covert rates were achievable [6], [38]–[41]. Remarkably, Intelligent Reflecting Surface (IRS), which is a promising solution to support energy-efficient and cost-effective covert communication, illustrates a huge potential to improve covert rate performance. Authors in [42] adopted two-way protocols in IRS-aided relaying networks to improve covert rate performance. An unmanned aerial vehicle (UAV) mounted IRS (UIRS) communication system over THz bands was also proposed to achieve the improvement of covert rate performance [43]. The authors in [44] and [45] utilized the integration of the IRS and the MIMO techniques to maximize the covert rate. The work [46] investigated the scenario that two-hop signals at the warden be combined to determine the presence of private transmission. Recently, a multi-hop relaying strategy was designed to improve covert rate performance [47], [48].

### III. SYSTEM MODEL

#### A. Network Model

As shown in Fig. 1, we consider a cellular network<sup>1</sup> consisting of a base station (BS), a cellular user equipment (CE), a D2D pair with a transmitter (DT) and a receiver (DR), and a warden (WD). DT intends to covertly transmit confidential messages to DR, while WD tries to detect the existence of the covert transmission between DT and DR. DR can operate in HD mode or FD mode. Under the HD mode, DR can only receive messages. Under the FD mode, DR can receive and send messages simultaneously. Specially, DR can serve as a friendly jammer to confuse WD’s detection via emitting artificial noise.

We focus on the uplink transmission scenario in the concerned cellular network, where all transmissions use the uplink

<sup>1</sup>These works mainly consider simple scenarios where a transmitter attempts to covertly transmit the message to its receiver with/without a relay in the presence of a warden/multiple wardens [17]–[24]. This is because it is very complex to determine the optimal detection error probability, the optimal detection threshold and the optimal covert rate in the multiple-user scenario.

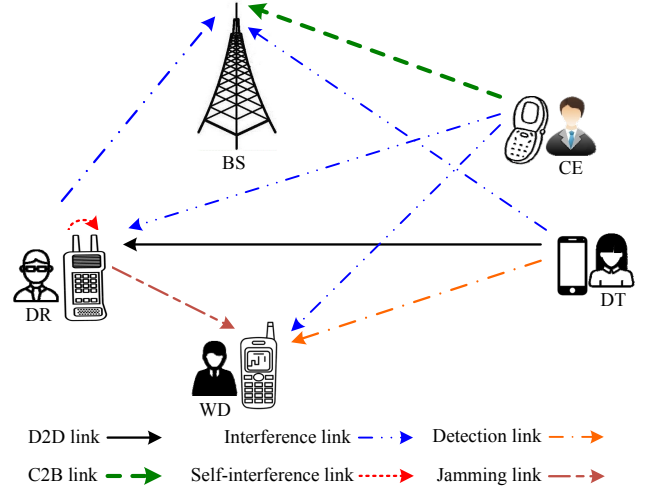


Fig. 1: System model

spectrum resource. Accordingly, there are six types of links in the network, namely, the link from DT to DR (D2D link), the link from CE to BS (C2B link), the interference link, the self-interference link from DR to itself, the detection link from DT to WD, and the jamming link from DR to WD. We consider that DR is equipped with two omnidirectional antennas for supporting the FD mode while each of the others has a single omnidirectional antenna. DR can adjust its transmit power  $P_r$  of artificial noise, which is no more than a maximum transmit power  $P_{\max}$ . CE and DT also employ adjustable transmission powers  $P_c$  and  $P_t$ , respectively. The maximum values of  $P_c$  and  $P_t$  are denoted as  $P_{t\max}$  and  $P_{c\max}$ , respectively.

#### B. Channel Model

Similar to previous works [18], [49]–[51], this paper considers that the time is slotted and all nodes are static from one time slot to another. A quasi-static Rayleigh fading channel is used to model these links as shown in Fig. 1, where the channel remains constant in a time slot and independently changes in different time slots. Let the subscripts  $t$ ,  $r$ ,  $c$ ,  $b$  and  $w$  denote the DT, DR, CE, BS and WD, respectively. We use  $h_{tr}$ ,  $h_{tb}$ ,  $h_{tw}$ ,  $h_{cr}$ ,  $h_{cb}$ ,  $h_{cw}$ ,  $h_{rb}$ ,  $h_{rw}$ , and  $h_{rr}$  to denote the fading coefficients of these links from DT to DR, DT to BS, DT to WD, CE to DR, CE to BS, CE to WD, DR to BS, DR to WD, and DR to DR respectively.

We use  $h_{ij}$  to denote the channel fading coefficient between nodes  $i$  and  $j$  and use  $1/\lambda_{ij}$  to denote the mean of  $|h_{ij}|^2$  over different time slots, where  $i$  and  $j \in \{t, r, c, b, w\}$ . The noise received at  $j$  is a complex additive Gaussian random variable with zero mean and variance  $\sigma_j^2$ , i.e.,  $n_j \sim \mathcal{CN}(0, \sigma_j^2)$ .

#### C. Full-Duplex Model

We consider DT and CE transmit signal over  $n$  available channel uses at each time slot. We use  $y_r^{FD}(i)$  and  $y_b^{FD}(i)$  to denote the received signal at DR and BS over the  $i$ -th time slot. Under the FD mode, they can be given by

$$y_r^{FD}(i) = \sqrt{P_t}h_{tr}x_t(i) + \sqrt{P_c}h_{cr}x_c(i) + \sqrt{\phi P_r}h_{rr}v_r(i) + n_r(i), \quad (1)$$

$$y_b^{FD}(i) = \sqrt{P_t}h_{tb}x_t(i) + \sqrt{P_c}h_{cb}x_c(i) + \sqrt{P_r}h_{rb}v_r(i) + n_r(i) \quad (2)$$

where  $x_t(i)$  and  $x_c(i)$  denote the signal transmitted by DT and CE, respectively,  $v_r(i)$  denotes the artificial noise generated by DR, and  $E[|x_t(i)|^2] = E[|x_c(i)|^2] = E[|v_r(i)|^2] = 1$ . Here,  $E[\cdot]$  is the expectation operator and  $i = 1, 2, \dots, n$ .  $\phi$  ( $0 < \phi \leq 1$ ) denotes the self-interference cancellation coefficient corresponding to the cancellation level of the artificial noise signal. The transmit power of DR is treated as AN to cause uncertainty at the warden, which confuses the warden to determine whether the D2D transmitter transmits a message or not. To this end, the transmit power should be random for the warden. Thus, we regard it as a random variable following a continuous uniform distribution in this paper. The assumption of the random variable on the AN has also been adopted in previous studies [49], [51], [52]. We use  $f_{P_r}(x)$  to denote the probability density function (PDF) of the random variable, and then

$$f_{P_r}(x) = \begin{cases} \frac{1}{P_{\max}}, & \text{if } 0 \leq x \leq P_{\max}, \\ 0, & \text{otherwise.} \end{cases} \quad (3)$$

Based on its received signal, WD performs a binary hypothesis test to decide whether or not DT did a covert transmission at a time slot. In this test, the null hypothesis (i.e.,  $\mathcal{H}_0$ ) states that DT did not transmit the covert message, while the alternative hypothesis (i.e.,  $\mathcal{H}_1$ ) states that DT did a covert transmission. Thus, the received signal at WD under these two hypotheses can be given by

$$\mathcal{H}_0 : y_w^{FD}(i) = \sqrt{P_c}h_{cw}x_c(i) + \sqrt{P_r}h_{rw}v_r(i) + n_w(i), \quad (4)$$

and

$$\mathcal{H}_1 : y_w^{FD}(i) = \sqrt{P_t}h_{tw}x_t(i) + \sqrt{P_c}h_{cw}x_c(i) + \sqrt{P_r}h_{rw}v_r(i) + n_w(i), \quad (5)$$

where  $y_w^{FD}(i)$  denotes the received signal at WD under the FD mode.

#### D. Half-Duplex Model

Under the HD mode, DR only receives messages without transmission at each time slot. We use  $y_r^{HD}(i)$  and  $y_b^{HD}(i)$  to denote the received signal at DR and BS under such a mode, respectively. Then, we have

$$y_r^{HD}(i) = \sqrt{P_t}h_{tr}x_t(i) + \sqrt{P_c}h_{cr}x_c(i) + n_r(i), \quad (6)$$

and

$$y_b^{HD}(i) = \sqrt{P_t}h_{tb}x_t(i) + \sqrt{P_c}h_{cb}x_c(i) + n_b(i). \quad (7)$$

Under the HD mode, WD also performs a binary hypothesis test to decide whether or not DR did a covert transmission. Then, we have

$$\mathcal{H}_0 : y_w^{HD}(i) = \sqrt{P_c}h_{cw}x_c(i) + n_w(i), \quad (8)$$

and

$$\mathcal{H}_1 : y_w^{HD}(i) = \sqrt{P_t}h_{tw}x_t(i) + \sqrt{P_c}h_{cw}x_c(i) + n_w(i), \quad (9)$$

where  $y_w^{HD}(i)$  denotes the received signal at WD under the HD mode.

#### E. Detection At Warden

Based on its received signals over the  $n$  channel uses, WD makes a binary decision on whether DT transmits covert messages or not under each of the full-duplex and half-duplex modes. The corresponding detection error probability  $\xi$  can be expressed as

$$\xi = P(\mathcal{D}_1 | \mathcal{H}_0) + P(\mathcal{D}_0 | \mathcal{H}_1), \quad (10)$$

where  $\mathcal{D}_1$  and  $\mathcal{D}_0$  denote the binary decisions that WD conducts a covert transmission or not, respectively.  $P(\mathcal{D}_1 | \mathcal{H}_0)$  denotes the false alarm probability that  $\mathcal{H}_0$  is true but WD makes a decision to approve  $\mathcal{D}_1$ .  $P(\mathcal{D}_0 | \mathcal{H}_1)$  denotes the miss detection probability that  $\mathcal{H}_1$  is true but WD approves  $\mathcal{D}_0$ .

According to the Pinsker's inequality [53], we have a lower bound of  $\xi$  given by

$$\xi \geq 1 - \sqrt{\frac{1}{2}\mathcal{D}(P_0||P_1)}, \quad (11)$$

where

$$\mathcal{D}(P_0||P_1) = n \left[ \ln(1 + \gamma_w) - \frac{\gamma_w}{1 + \gamma_w} \right]. \quad (12)$$

Here,  $\mathcal{D}(P_0||P_1)$  is the relative entropy between two probability distributions  $P_0$  to  $P_1$ , and  $P_0$  and  $P_1$  are the probability distributions of WD's channel observations of  $n$  channel uses when  $\mathcal{H}_0$  and  $\mathcal{H}_1$  are true, respectively.  $\gamma_w$  is the signal-to-interference-plus-noise ratio (SINR) at WD. Note that when the value of  $\mathcal{D}(P_0||P_1)$  is small, the distance between these two distributions  $P_0$  and  $P_1$  is also small such that WD has a high detection error probability  $\xi$ .

In covert communications, we require a covert constraint  $\xi \geq 1 - \varepsilon$ , where  $\varepsilon$  is an arbitrarily small constant. We adopt  $\mathcal{D}(P_0||P_1)$  as covertness requirement, which is determined as

$$\mathcal{D}(P_0||P_1) \leq 2\varepsilon^2. \quad (13)$$

#### F. Performance Metrics

*Covert rate* is defined as the achievable rate from DT to DR, with which the transmission from DT to DR and that from CE to BS do not occur outage such that DR and BS can decode their received messages, while maintaining a high detection error probability at WD.

## IV. COVERT RATE UNDER FULL-DUPLEX MODE

This section first provides theoretical modelling for covert rate under FD mode, and then further explores the covert rate maximization.

### A. Covert Rate Modeling

Based on the definition of covert rate, we have

$$\eta_{FD} = R_{FD} (1 - \delta_r^{FD}) (1 - \delta_b^{FD}), \quad (14)$$

where  $\eta_{FD}$  denotes the covert rate under FD mode,  $R_{FD}$  is a desired rate,  $\delta_r^{FD}$  and  $\delta_b^{FD}$  denote the transmission outage probabilities that DR and BS cannot successfully decode their received message, respectively. Specially, the transmission outage from CE to BS occurs when  $C_{cb}^{FD} < \zeta_{cb}$ , and that from DT to DR occurs when  $C_{tr}^{FD} < \zeta_{tr}$ . Here,  $\zeta_{cb}$  and  $\zeta_{tr}$  are two preset thresholds,  $C_{cb}^{FD}$  and  $C_{tr}^{FD}$  denote the channel capacity from CE to BS and that from DT to DR, respectively.

We need to determine the unknown  $\delta_b^{FD}$  and  $\delta_r^{FD}$ . To obtain them, we first give the SINRs at BS and DR, which are denoted by  $\gamma_b^{FD}$  and  $\gamma_r^{FD}$ , respectively. They are given by

$$\gamma_b^{FD} = \frac{|h_{cb}|^2 P_c}{|h_{rb}|^2 P_r + |h_{tb}|^2 P_t + \sigma_b^2} \quad (15)$$

and

$$\gamma_r^{FD} = \frac{|h_{tr}|^2 P_t}{\phi |h_{rr}|^2 P_r + |h_{cr}|^2 P_c + \sigma_r^2} \quad (16)$$

Then, we obtain  $\delta_r^{FD}$  and  $\delta_b^{FD}$  in the following lemma.

**Lemma 1.** *Under FD mode, the transmission outage probabilities  $\delta_r^{FD}$  and  $\delta_b^{FD}$  are determined as*

$$\delta_b^{FD} = 1 - \frac{\lambda_{tb} \lambda_{rb} \exp(-\alpha \sigma_b^2)}{\alpha (P_t + \lambda_{tb}) P_{\max}} \ln \frac{\alpha P_{\max} + \lambda_{tb}}{\lambda_{tb}} \quad (17)$$

and

$$\delta_r^{FD} = 1 - \frac{\lambda_{rr} \lambda_{cr} \exp(-\beta \sigma_r^2)}{\beta^2 \phi P_{\max} (P_c + \lambda_{cr})} \ln \frac{\beta \phi P_{\max} + \lambda_{rr}}{\lambda_{rr}} \quad (18)$$

where  $\alpha = \lambda_{cb} (2^{\zeta_{cb}} - 1) / P_c$ , and  $\beta = \lambda_{tr} (2^{\zeta_{tr}} - 1) / P_t$ .

*Proof:* We know that  $|h_{ij}|^2$  is a random variable of exponential distribution with parameter  $\lambda_{ij}$ , and its PDF is given by

$$f_{|h_{ij}|^2}(x) = \lambda_{ij} e^{-\lambda_{ij} x}, \quad (19)$$

where  $i$  and  $j \in \{t, r, c, b, w\}$ . Then, we can determine the

transmission outage probability  $\delta_b^{FD}$  as

$$\begin{aligned} \delta_b^{FD} &= \mathbb{P}(C_{cb}^{FD} < \zeta_{cb}) \\ &= \mathbb{P}\left(\frac{|h_{cb}|^2 P_c}{|h_{rb}|^2 P_r + |h_{tb}|^2 P_t + \sigma_b^2} < 2^{\zeta_{cb}} - 1\right) \\ &= \int_0^{P_{\max}} \int_0^\infty \int_0^\infty \int_0^\infty \frac{(2^{\zeta_{cb}} - 1)(|h_{rb}|^2 P_r + |h_{tb}|^2 P_t + \sigma_b^2)}{P_c} \\ &\quad f_{|h_{cb}|^2}(x) f_{|h_{rb}|^2}(y) f_{|h_{tb}|^2}(z) f_{P_r}(w) dx dy dz dw \\ &= \int_0^{P_{\max}} \int_0^\infty \lambda_{tb} \left[1 - \frac{\lambda_{rb} \exp(-\alpha (z P_t + \sigma_b^2))}{\alpha P_r + \lambda_{rb}}\right] \\ &\quad \exp(-\lambda_{tb} z) f_{P_r}(w) dz dw \\ &= \int_0^{P_{\max}} \left[1 - \frac{\lambda_{tb} \lambda_{rb}}{\alpha (\alpha P_r + \lambda_{rb}) (P_t + \lambda_{tb})} \exp(-\alpha \sigma_b^2)\right] \\ &\quad f_{P_r}(w) dw \\ &= 1 - \frac{\lambda_{tb} \lambda_{rb} \exp(-\alpha \sigma_b^2)}{\alpha^2 (P_t + \lambda_{tb}) P_{\max}} \ln \frac{\alpha P_{\max} + \lambda_{rb}}{\lambda_{rb}} \end{aligned} \quad (20)$$

where  $\alpha = \lambda_{cb} (2^{\zeta_{cb}} - 1) / P_c$ .

We further determine the transmission outage probability  $\delta_r^{FD}$  as

$$\begin{aligned} \delta_r^{FD} &= \mathbb{P}(C_{tr}^{FD} < \zeta_{tr}) \\ &= \mathbb{P}\left(\frac{|h_{tr}|^2 P_t}{\phi |h_{rr}|^2 P_r + |h_{cr}|^2 P_c + \sigma_r^2} < 2^{\zeta_{tr}} - 1\right) \\ &= \int_0^{P_{\max}} \int_0^\infty \int_0^\infty \int_0^\infty \frac{(2^{\zeta_{tr}} - 1)(\phi |h_{rr}|^2 P_r + |h_{cr}|^2 P_c + \sigma_r^2)}{P_t} \\ &\quad f_{|h_{tr}|^2}(x) f_{|h_{rr}|^2}(y) f_{|h_{cr}|^2}(z) f_{P_r}(w) dx dy dz dw \\ &= \int_0^{P_{\max}} \int_0^\infty \left[1 - \frac{\lambda_{rr} \exp(-\beta (|h_{cr}|^2 P_c + \sigma_r^2))}{\beta \phi P_r + \lambda_{rr}}\right] \\ &\quad f_{|h_{cr}|^2}(z) f_{P_r}(w) dz dw \\ &= \int_0^{P_{\max}} \left[1 - \frac{\lambda_{rr} \lambda_{cr}}{\beta (\beta \phi P_r + \lambda_{rr}) (P_c + \lambda_{cr})} \exp(-\beta \sigma_r^2)\right] \\ &\quad f_{P_r}(w) dw \\ &= 1 - \frac{\lambda_{rr} \lambda_{cr} \exp(-\beta \sigma_r^2)}{\beta^2 \phi P_{\max} (P_c + \lambda_{cr})} \ln \frac{\beta \phi P_{\max} + \lambda_{rr}}{\lambda_{rr}} \end{aligned} \quad (21)$$

where  $\beta = \lambda_{tr} (2^{\zeta_{tr}} - 1) / P_t$ . We finish the proof of Lemma 1.  $\blacksquare$

### B. Covert Rate Maximization

Our goal is to maximize the covert rate under the FD mode by jointly optimizing the transmit powers of DT and CE and the AN power of DR. To this end, covert rate maximization can be formulated as the following optimization problem.

$$\max_{P_t, P_c, P_{\max}} \eta_{FD} \quad (22a)$$

$$\text{s.t. } \mathcal{D}(P_0^{FD} \parallel P_1^{FD}) \leq 2\varepsilon^2 \quad (22b)$$

$$0 \leq P_t \leq P_{t \max} \quad (22c)$$

$$0 \leq P_c \leq P_{c \max} \quad (22d)$$

$$0 \leq P_{\max} \leq P_{r \max} \quad (22e)$$

where constraint (22b) represents the covert requirement, and constraints (22c) and (22d) represent the ranges of transmit powers of DT and CE, respectively. The constraint (22e) represents that the range of the maximum transmit power of DR is between 0 and  $P_{r \max}$ .

Here,

$$D(P_0^{FD} \parallel P_1^{FD}) = n \left[ \ln(1 + \gamma_w^{FD}) - \frac{\gamma_w^{FD}}{\gamma_w^{FD} + 1} \right] \quad (23)$$

where, the SINR at WD under the FD mode is given by

$$\gamma_w^{FD} = \frac{P_t |h_{tw}|^2}{P_c |h_{cw}|^2 + P_r |h_{rw}|^2 + \sigma_w^2} \quad (24)$$

and the terms  $P_c |h_{cw}|^2$  and  $P_r |h_{rw}|^2$  are the interference caused by CE and DR, respectively.

The solution to the optimization problem (22) is given in the following theorem.

**Theorem 1.** We use  $\eta_{FD}^*$  to denote the maximum covert rate, and use  $P_t^*$  and  $P_c^*$  to denote the optimal transmit powers of DT, CE, respectively. Then, we have

$$P_t^* = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n} |h_{cw}|^2 P_c^* + |h_{rw}|^2 P_r^* + \sigma_w^2}{n |h_{tw}|^2}, \quad (25)$$

$$P_c^* = \begin{cases} P_{c \max}, & \theta_1 \geq \theta_2 \\ 0, & \theta_1 < \theta_2 \end{cases} \quad (26)$$

where  $\theta_1 = |h_{rr}|^2 |h_{cw}|^2 \phi P_r + \sigma_r^2 |h_{cw}|^2$  and  $\theta_2 = |h_{rw}|^2 |h_{cr}|^2 P_r + |h_{cr}|^2 \sigma_w^2$ .

Based on these optimal transmit powers, the maximum covert rate can be determined as

$$\eta_{FD}^* = R_{FD} \frac{\lambda_{tb} \lambda_{rb} \lambda_{rr} \lambda_{cr} \exp[-(\alpha \sigma_b^2 + \beta \sigma_r^2)]}{\alpha^2 \beta^2 \phi (P_t^* + \lambda_{tb}) (P_c^* + \lambda_{cr}) P_{\max}^2} \ln \left( \frac{\alpha P_{\max} + \lambda_{rb}}{\lambda_{rb}} \right) \ln \left( \frac{\beta \phi P_{\max} + \lambda_{rr}}{\lambda_{rr}} \right) \quad (27)$$

where  $\alpha = \lambda_{cb} (2^{\zeta_{cb}} - 1) / P_c^*$ , and  $\beta = \lambda_{tr} (2^{\zeta_{tr}} - 1) / P_t^*$ .

## V. COVERT RATE UNDER HALF-DUPLEX MODE

In this section, we first provide the theoretical modelling for covert rate and then explore the covert rate maximization by optimizing the transmit powers of DT and CE under the HD mode.

### A. Covert Rate Modeling

Under the HD mode, the covert rate  $\eta_{HD}$  can be expressed as

$$\eta_{HD} = R_{HD} (1 - \delta_r^{HD}) (1 - \delta_b^{HD}) \quad (28)$$

where  $R_{HD}$  is a desired rate,  $\delta_r^{HD}$  and  $\delta_b^{HD}$  denote the transmission outage probabilities at DR and BS cannot successfully decode their received message, respectively. If  $C_{cb}^{HD} < \zeta_{cb}$ , the transmission outage from CE to BS occurs. If  $C_{tr}^{HD} < \zeta_{tr}$ , the transmission outage from DT to DR occurs.  $C_{cb}^{HD}$  and  $C_{tr}^{HD}$  denote the channel capacity from CE to BS and that from DT to DR, respectively.

We need to determine the unknown  $\delta_b^{HD}$  and  $\delta_r^{HD}$ . First, we use  $\gamma_b^{HD}$  and  $\gamma_r^{HD}$  to denote the SINRs at BS and DR, respectively. The SINRs are given by

$$\gamma_b^{HD} = \frac{|h_{cb}|^2 P_c}{|h_{tb}|^2 P_t + \sigma_b^2} \quad (29)$$

and

$$\gamma_r^{HD} = \frac{|h_{tr}|^2 P_t}{|h_{cr}|^2 P_c + \sigma_r^2}. \quad (30)$$

We can obtain the transmission outage probabilities  $\delta_b^{HD}$  and  $\delta_r^{HD}$  in the following lemma.

**Lemma 2.** Under the HD mode, the transmission outage probabilities at BS and DR can be determined as

$$\delta_b^{HD} = 1 - \frac{\lambda_{tb} \exp(-\alpha \sigma_b^2)}{\alpha P_t + \lambda_{tb}} \quad (31)$$

and

$$\delta_r^{HD} = 1 - \frac{\lambda_{cr} \exp(-\beta \sigma_r^2)}{\beta P_c + \lambda_{cr}} \quad (32)$$

where  $\alpha = \lambda_{cb} (2^{\zeta_{cb}} - 1) / P_c$ , and  $\beta = \lambda_{tr} (2^{\zeta_{tr}} - 1) / P_t$ .

*Proof:* We first determine  $\delta_b^{HD}$  as

$$\begin{aligned} \delta_b^{HD} &= \text{P}(C_{cb}^{HD} < \zeta_{cb}) \\ &= \text{P}\left(\frac{|h_{cb}|^2 P_c}{|h_{tb}|^2 P_t + \sigma_b^2} < 2^{\zeta_{cb}} - 1\right) \\ &= \int_0^\infty \int_0^\infty \frac{(2^{\zeta_{cb}} - 1)(|h_{tb}|^2 P_t + \sigma_b^2)}{P_c} f_{|h_{cb}|^2}(x) f_{|h_{tb}|^2}(y) dx dy \\ &= \int_0^\infty \left[1 - \exp(-\alpha (|h_{tb}|^2 P_t + \sigma_b^2))\right] f_{|h_{tb}|^2}(y) dy \\ &= \int_0^\infty \lambda_{tb} [1 - \exp(-\alpha (P_t y + \sigma_b^2))] \exp(-\lambda_{tb} y) dy \\ &= 1 - \frac{\lambda_{tb} \exp(-\alpha \sigma_b^2)}{\alpha P_t + \lambda_{tb}} \end{aligned} \quad (33)$$

where  $\alpha = \lambda_{cb} (2^{\zeta_{cb}} - 1) / P_c$ .

We then determine  $\delta_r^{HD}$  as

$$\begin{aligned}
\delta_r^{HD} &= \text{P}(C_{tr} < \zeta_{tr}) \\
&= \text{P}\left(\frac{|h_{tr}|^2 P_t}{|h_{cr}|^2 P_c + \sigma_r^2} < 2\zeta_{tr} - 1\right) \\
&= \int_0^\infty \int_0^\infty \frac{(2\zeta_{tr} - 1)(|h_{cr}|^2 P_c + \sigma_r^2)}{P_t} f_{|h_{tr}|^2}(x) f_{|h_{cr}|^2}(y) dx dy \\
&= \int_0^\infty [1 - \exp(-\beta(P_c y + \sigma_r^2))] \lambda_{cr} \exp(-\lambda_{cr} y) dy \\
&= 1 - \lambda_{cr} \exp(-\beta\sigma_r^2) \int_0^\infty \exp(-(\beta P_c + \lambda_{cr}) y) dy \\
&= 1 - \frac{\lambda_{cr} \exp(-\beta\sigma_r^2)}{\beta P_c + \lambda_{cr}}
\end{aligned} \tag{34}$$

where  $\beta = \lambda_{tr} (2\zeta_{tr} - 1) / P_t$ .

We finish the proof of Lemma 2.  $\blacksquare$

### B. Covert Rate Maximization

We aim to maximize the covert rate under the HD mode by jointly optimizing the transmit powers of DT and CE and thus formulate the covert rate maximization as the following optimization problem.

$$\max_{P_t, P_c} \eta_{HD} \tag{35a}$$

$$\text{s.t. } \mathcal{D}(P_0^{HD} \| P_1^{HD}) \leq 2\varepsilon^2 \tag{35b}$$

$$0 \leq P_t \leq P_{t \max} \tag{35c}$$

$$0 \leq P_c \leq P_{c \max} \tag{35d}$$

where constraint (35b) represents the covert requirement, and constraints (35c) and (35d) represent the ranges of transmit powers of DT and CE, respectively. In (35b), we have

$$\mathcal{D}(P_0^{HD} \| P_1^{HD}) = n \left[ \ln(1 + \gamma_w^{HD}) - \frac{\gamma_w^{HD}}{\gamma_w^{HD} + 1} \right] \tag{36}$$

where

$$\gamma_w^{HD} = \frac{P_t |h_{tw}|^2}{P_c |h_{cw}|^2 + \sigma_w^2} \tag{37}$$

is the SINR at WD under HD mode.

We can obtain the solution of the optimization problem (35) in the following theorem.

**Theorem 2.** *Under the HD mode, the optimal transmit powers of DT and CE can be determined as*

$$P_t^* = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{cw}|^2 P_c^* + \sigma_w^2}{|h_{tw}|^2}, \tag{38}$$

and

$$P_c^* = \begin{cases} P_{c \max}, & \theta_3 \geq \theta_4 \\ 0, & \theta_3 < \theta_4 \end{cases} \tag{39}$$

where  $\theta_3 = |h_{cw}|^2 \sigma_r^2$  and  $\theta_4 = |h_{cr}|^2 \sigma_w^2$ .

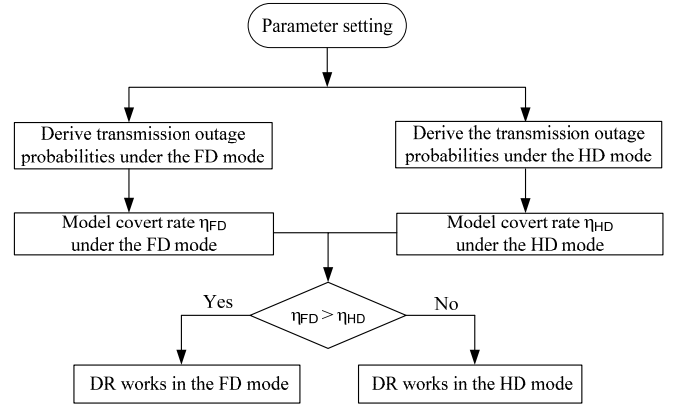


Fig. 2: System structure diagram of the general mode.

Based on these optimal transmit powers, we further determine the maximum covert rate  $\eta_{HD}^*$  as

$$\eta_{HD}^* = R_{HD} \frac{\lambda_{cr} \lambda_{tb} \exp[-(\alpha \sigma_b^2 + \beta \sigma_r^2)]}{(\alpha P_t^* + \lambda_{tb})(\beta P_c^* + \lambda_{cr})} \tag{40}$$

where  $\alpha = \lambda_{cb} (2\zeta_{cb} - 1) / P_c^*$  and  $\beta = \lambda_{tr} (2\zeta_{tr} - 1) / P_t^*$ .

## VI. COVERT RATE UNDER GENERAL MODE

In this section, we propose a general mode. Under the general mode, the D2D receiver DR can flexibly switch between the FD and HD modes. Such a general mode can well overcome the passive impact of strong self-interference on covert performance under the FD mode. The system structure diagram of the general mode is shown in Fig. 2. and the covert rate optimization algorithm under the general mode is described in Algorithm 1. Regarding the time complexity of the algorithm, we have obtained the closed-form solutions for the maximum covert rate under the FD and HD modes (See Theorem 1 and Theorem 2). Thus, the complexity of the algorithm is a constant  $O(1)$ .

### A. Covert Rate Modeling

The covert rate under the general mode can be expressed as

$$\eta = \max\{\eta_{FD}, \eta_{HD}\}. \tag{41}$$

Here, by substituting (17) and (18) into (14),  $\eta_{FD}$  can be determined as

$$\begin{aligned}
\eta_{FD} &= R_{FD} \frac{\lambda_{tb} \lambda_{rb} \lambda_{rr} \lambda_{cr} \exp[-(\alpha \sigma_b^2 + \beta \sigma_r^2)]}{\alpha^2 \beta^2 \phi(P_t + \lambda_{tb})(P_c + \lambda_{cr}) P_{\max}^2} \\
&\quad \ln\left(\frac{\alpha P_{\max} + \lambda_{rb}}{\lambda_{rb}}\right) \ln\left(\frac{\beta \phi P_{\max} + \lambda_{rr}}{\lambda_{rr}}\right),
\end{aligned} \tag{42}$$

and by substituting (31) and (32) into (28),  $\eta_{HD}$  can be determined as

$$\eta_{HD} = R_{HD} \frac{\lambda_{tb} \lambda_{cr} \exp[-(\alpha \sigma_b^2 + \beta \sigma_r^2)]}{(\alpha P_t + \lambda_{tb})(\beta P_c + \lambda_{cr})} \tag{43}$$

where  $\alpha = \lambda_{cb} (2\zeta_{cb} - 1) / P_c$ , and  $\beta = \lambda_{tr} (2\zeta_{tr} - 1) / P_t$ .

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**Algorithm 1** covert Rate Optimization Algorithm Under the General Mode
 

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**Input:**

$P_r, P_t, P_c$ : Transmit powers of DR, DT and CE, respectively.

$h_{xy}, x, y \in \{t, r, b, w, c\}$ : The channel fading coefficients.

$\sigma_w^2, \sigma_b^2, \sigma_r^2$ : The noise variances at WD, DR and BS, respectively.

**Output:**

$\eta^*$ : The optimal covert rate under the general mode.

*/\* Calculate the maximum covert rate  $\eta_{FD}^*$  under the FD mode \*/*

- 1: Calculate the SINRs at BS and DR, i.e.  $\gamma_b^{FD}$  and  $\gamma_r^{FD}$  under FD mode, respectively;
  - 2: According to  $\gamma_b^{FD}$  and  $\gamma_r^{FD}$ , calculate the transmission outage probabilities  $\delta_b^{FD}$  and  $\delta_r^{FD}$ , respectively;
  - 3: Calculate the covert rate  $\eta_{FD} = R_{FD}(1 - \delta_r^{FD})(1 - \delta_b^{FD})$  under the FD mode;
  - 4: Calculate  $\eta_{FD}^*$  according to (46);  
*/\* Calculate the maximum covert rate  $\eta_{HD}^*$  under the HD mode \*/*
  - 5: Calculate the SINRs at BS and DR, i.e.  $\gamma_b^{HD}$  and  $\gamma_r^{HD}$  under HD mode, respectively;
  - 6: According to  $\gamma_b^{HD}$  and  $\gamma_r^{HD}$ , calculate the transmission outage probabilities  $\delta_b^{HD}$  and  $\delta_r^{HD}$ , respectively;
  - 7: Calculate the covert rate  $\eta_{HD} = R_{HD}(1 - \delta_r^{HD})(1 - \delta_b^{HD})$  under the HD mode;
  - 8: Calculate  $\eta_{HD}^*$  according to (46);  
*/\* Calculate the maximum covert rate  $\eta^*$  under the general mode \*/*
  - 9: **if**  $\eta_{FD}^* \geq \eta_{HD}^*$  **then**
  - 10:      $\eta^* = \eta_{FD}^*$
  - 11: **else**
  - 12:      $\eta^* = \eta_{HD}^*$
  - 13: **end if**
- 

### B. Covert Rate Maximization

The objective is to maximize the covert rate under the general mode, while being subject to the covertness requirement and transmit power constraints. The covert rate maximization can be formulated as the following optimization problem.

$$\max_{P_t, P_c, P_{\max}} \eta \quad (44a)$$

$$\text{s.t. } \mathcal{D}(P_0 \parallel P_1) \leq 2\epsilon^2 \quad (44b)$$

$$0 \leq P_t \leq P_{t\max} \quad (44c)$$

$$0 \leq P_c \leq P_{c\max} \quad (44d)$$

$$0 \leq P_{\max} \leq P_{r\max} \quad (44e)$$

where constraint (44b) represents the covert requirement, and constraints (44c) and (44d) represent the ranges of transmit powers of DT and CE, respectively. While, (44e) represents the range of the maximum AN power.

For the optimization problem in (45), the maximum covert rate can be expressed as

$$\eta^* = \max\{\eta_{FD}^*, \eta_{HD}^*\}, \quad (45)$$

where  $\eta_{FD}^*$  and  $\eta_{HD}^*$  are given in Theorems 1 and 2, respectively.

Regarding the trade-off among the data rate, energy efficiency and covert rate, we formulate covert rate maximization as the following optimization problem with the constraints of the data rate of cellular link and total power of transmitters under the general model.

$$\max_{P_t, P_c, P_{\max}} \eta \quad (46a)$$

$$\text{s.t. } \mathcal{D}(P_0 \parallel P_1) \leq 2\epsilon^2 \quad (46b)$$

$$0 \leq P_t \leq P_{t\max} \quad (46c)$$

$$0 \leq P_c \leq P_{c\max} \quad (46d)$$

$$0 \leq P_{\max} \leq P_{r\max} \quad (46e)$$

$$0 \leq R_c \leq R_{c\max} \quad (46f)$$

$$0 \leq P_t + P_c \leq P_{all} \quad (46g)$$

where constraint (46f) represents the range of data rate of cellular link, and constraint (46g) represents the range of the total power of transmitters DT, and CE. Here,  $R_{c\max}$  represents the maximum value of the data rate, and  $P_{all}$  represents the maximum value of the total power of  $P_t$  and  $P_c$ . A three-dimensional search over  $(P_c, P_t, P_{\max})$  can be used to find the optimal solution for the optimization problem (46).

### C. Covert Rate Analysis

This section explores the impact of some critical parameters on the covert rate performance and corresponding performance optimization under the FD, HD and general modes. Unless stated otherwise, the parameter values are provided in TABLE II.

TABLE II: Parameters

Parameters	Values
Exponential parameter for channel $h_{ij}, (\lambda_{ij})$	1
Self-interference cancellation coefficient ( $\phi$ )	0.05
Covertness requirement ( $\epsilon$ )	0.01
The maximum transmit power of DR ( $P_{\max}$ )	2.0 W
Transmit powers of DR, DT and CE ( $P_r, P_t, P_c$ )	1.0 W
Variance noises at WD, DR and BS ( $\sigma_w^2, \sigma_r^2, \sigma_b^2$ )	0.001 W
Rates under FD and HD modes ( $R_{FD}, R_{HD}$ )	1.5 Mbits/Channel

We first explore the impact of the transmit power of DT  $P_t$  on covert rates under the three modes. Fig. 3 summarizes how covert rates vary with  $P_t$  for the setting of the transmit power of CE  $P_c = \{1.0, 1.5\}$  W. It can be observed from Fig. 3 that as  $P_t$  increases, the covert rate  $\eta_{FD}$  under the FD increases, while the covert rate  $\eta_{HD}$  under the HD mode first increases and then remains at zero. This is because the effect of increasing  $P_t$  is twofold. On one hand, it can increase the covert rates under the two modes. On the other hand, it can also increase the probability that the warden WD detects the transmission of DT. When WD can detect its transmission,  $\eta_{HD}$  remains at zero. However, the receiver DR works in the FD mode, and thus the interference emitted by DR can confuse the detection of WD, which leads to the increase of  $\eta_{FD}$  as  $P_t$  continues to increase. We know that the covert rate under the general mode corresponds to the maximum value of  $\eta_{FD}$  and  $\eta_{HD}$  as shown in Fig. 3. We further observe from Fig. 3 that



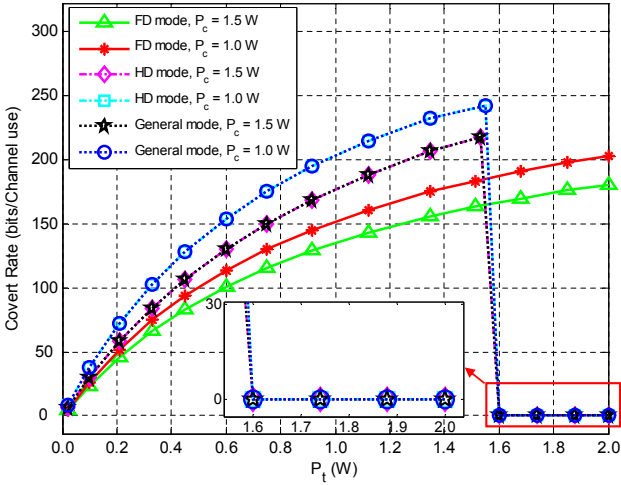


Fig. 3: Covert rates versus the transmit power of DT  $P_t$  under the FD, HD and general modes.

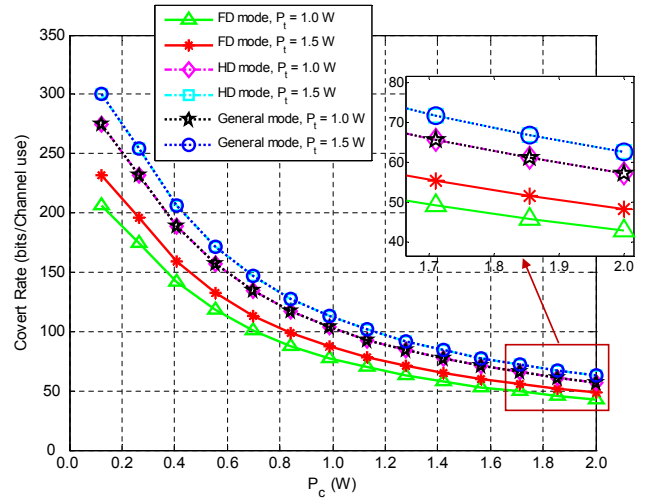


Fig. 5: Covert rates versus the transmit power of CE  $P_c$  under the FD, HD and general modes.

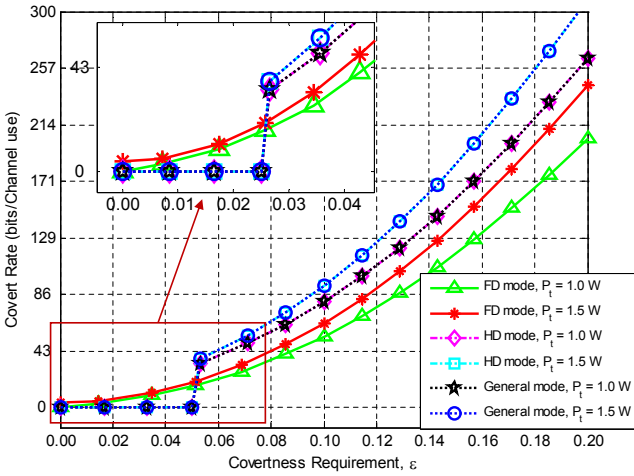


Fig. 4: Covert rates versus the covertness requirement  $\varepsilon$  under the FD, HD and general modes.

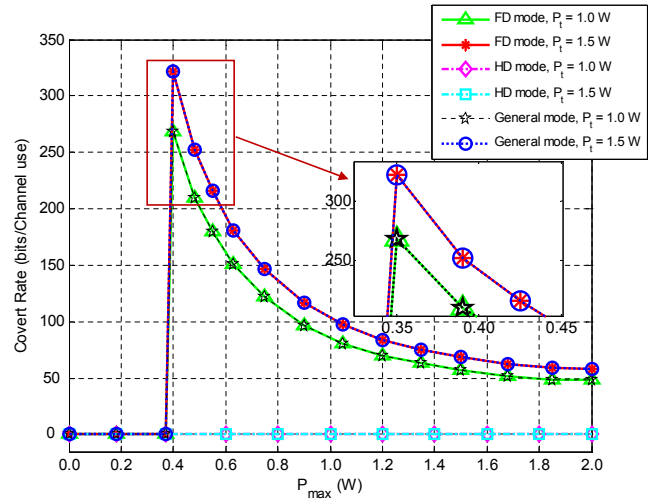


Fig. 6: Covert rates versus the transmit power of DR  $P_{\max}$  under the FD, HD and general modes.

for each fixed  $P_t$ ,  $\eta_{HD}$  is higher than  $\eta_{FD}$  due to the self-interference under the FD mode. We can also observe from Fig. 3 that for each fixed  $P_t$ , the maximum covert rate with the setting of  $P_c = 1.5$  W is smaller than that with the setting of  $P_c = 1.0$  W for each mode. The reason behind this is that a large  $P_c$  can cause more interference to the D2D receiver DR, which leads to a small maximum covert rate.

We further investigate the impact of the covert requirement  $\varepsilon$  on covert rates under these three modes. We summarize in Fig. 4 how covert rates vary with  $\varepsilon$  for the setting of the transmit power of DT  $P_t = \{1.0, 1.5\}$  W. We can see from Fig. 4 that as  $\varepsilon$  increases,  $\eta_{FD}$  increases, while  $\eta_{HD}$  first keeps at zero and then increases. The phenomenon can be explained as follows. We know that an increase of  $\varepsilon$  leads to the increases of  $\eta_{FD}$  and  $\eta_{HD}$ . However, as  $\varepsilon$  is relatively small, the covert constraint under the HD mode cannot be satisfied, and thus  $\eta_{HD}$  is zero. As for the FD mode, the covert constraint is satisfied with the help of interference from DR. We can also see from Fig. 4 that the covert rate under the general mode is

the maximum one of  $\eta_{FD}$  and  $\eta_{HD}$  for each fixed  $\varepsilon$ . We can also observe from Fig. 4 that for each  $\varepsilon$ , the maximum covert rate with the setting of  $P_t = 1.5$  W is larger than that with the setting of  $P_t = 1.0$  W for each mode. The reason behind this phenomenon is that a larger  $P_t$  results in a stronger received signal at the D2D receiver DR, which leads to the improvement of covert performance.

We now explore the impact of the transmit power of CE  $P_c$  on covert rates for the setting of the transmit power of DT  $P_t = \{1.0, 1.5\}$  W under these three modes, as shown in Fig. 5. It can be seen from Fig. 5 that the covert rates under these three modes decrease with the increase of  $P_c$ . This is because increasing  $P_c$  can increase the interference to the receiver DR, which leads to the decrease of the covert rates under these three modes. It can also be seen from Fig. 5 that for each fixed  $P_c$ , the maximum covert rate with the setting of  $P_t = 1.5$  W is larger than that with the setting of  $P_t = 1.0$  W for each mode. The reason is the same as that in Fig. 4.

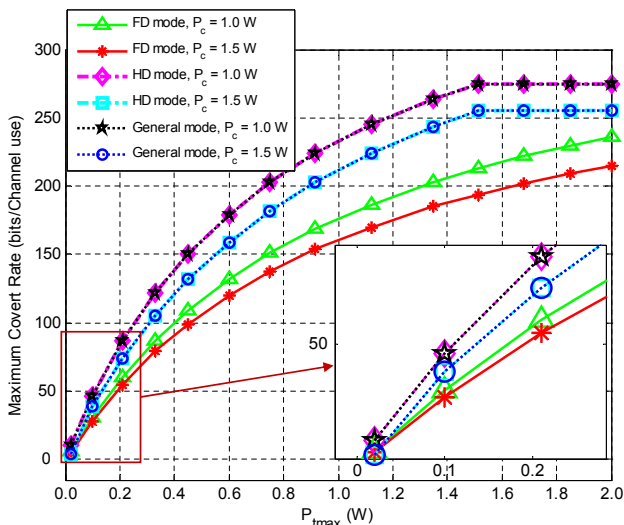


Fig. 7: Maximum Covert rates versus the maximum transmit power of DT  $P_{t \max}$  under the FD, HD and general modes.

Finally, we examine the impact of the transmit maximum power of DR  $P_{\max}$  on the covert rates under these three modes. Fig. 6 illustrates how the covert rates vary with  $P_{\max}$  for the setting of the transmit power of DT  $P_t = \{1.0, 1.5\}$  W. One observation from Fig. 6 indicates that as  $P_{\max}$  increases,  $\eta_{FD}$  first remains at zero, then achieves a maximum value and finally decreases. Meanwhile,  $\eta_{HD}$  always remains at zero. This is due to the following reason. When  $P_{\max}$  is relatively small, the covert constraints under the FD and HD modes cannot be satisfied, and thus both  $\eta_{FD}$  and  $\eta_{HD}$  remain at zero. When  $P_{\max}$  increases up to a threshold, the covert constraint under the FD mode can be satisfied with the help of the artificial noise, which leads to a maximum covert rate. On the other hand, increasing  $P_{\max}$  can also increase the self-interference at DR, which leads to the decrease of covert rate under the FD mode. Note that there is no effect of the artificial noise/self-interference under the HD mode, and thus  $\eta_{HD}$  still remains at zero. It can also be seen from Fig. 6 that for each fixed  $P_{\max}$ , the maximum covert rate with the setting of  $P_t = 1.5$  W is larger than that with the setting of  $P_t = 1.0$  W for each mode. The reason has been explained in Fig. 4 and Fig. 5.

#### D. Covert Rate Optimization

This section explores the impact of system parameters on the maximum covert rates under the FD, HD and general modes.

We summarize in Fig. 7 how the maximum covert rates vary with  $P_{t \max}$  under these three modes with the setting of the transmit power of CE  $P_c = \{1.0, 1.5\}$  W. We can see from Fig. 7 that for a given  $P_c$ , as  $P_{t \max}$  increases, the maximum covert rates increase under the three modes, while as  $P_{t \max}$  increases up to some threshold, the maximum covert rates under the HD and general modes keep unchanged. This is due to the following reason. As  $P_{t \max}$  is relatively small,  $P_t$  has not reached the optimal value corresponding to the maximum value of the maximum covert rates. Thus, the maximum covert rates increase with the increase of  $P_{t \max}$  under the three

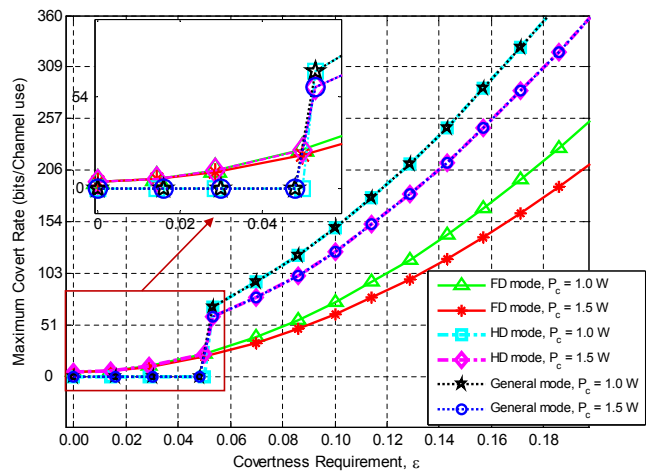


Fig. 8: Maximum covert rates versus the covertness requirement  $\epsilon$  under the FD, HD and general modes.

modes. As  $P_{t \max}$  becomes larger,  $P_t$  reaches the optimal value and keeps unchanged under the HD and general modes, at which the maximum covert rates remain at the largest constant. However, due to the effect of self-interference under the FD mode,  $P_t$  has not reached the optimal value. Thus, the maximum covert rate under the FD mode increases with the increase of  $P_t$ . We can also observe from Fig. 7 that for each fixed  $P_{t \max}$ , the maximum covert rates with the setting of  $P_c = 1.5$  W are smaller than these with the setting of  $P_c = 1.0$  W under these three modes. This is due to the fact that a large  $P_c$  can cause more interference to the D2D receiver DR, which leads to small maximum covert rates under these three modes.

Fig. 8 illustrates how the maximum covert rates vary with the covertness requirement  $\epsilon$  under these three modes with the setting of the transmit power of CE  $P_c = \{1.0, 1.5\}$  W. It can be observed from Fig. 8 that as  $\epsilon$  increases, the maximum covert rates under the FD and general modes increase, while the maximum covert rate under the HD mode first remains at zero and then increases. The reason behind this phenomenon is the same as that of Fig. 4. Similar to Fig. 7, we can see from Fig. 8 that for each fixed  $\epsilon$ , the maximum covert rates with the setting of  $P_c = 1.5$  W are smaller than these with the setting of  $P_c = 1.0$  W under these three modes.

We proceed to explore the impact of  $P_{c \max}$  on the maximum covert rates under these three modes with the setting of the transmit power of DT  $P_t = \{1.0, 1.5\}$  W. It can be seen from Fig. 9 that as  $P_{c \max}$  increases, the maximum covert rates under these three modes first increase, then achieve maximum values and keep unchanged. This can be explained as follows. According to the definition of covert rate, we know that the maximum covert rate under each mode is inversely proportional to the transmission outage probability of the cellular link from CE to BS. Increasing  $P_{c \max}$  can reduce the transmission outage probability, which leads to the increase of the maximum covert rate under each mode. On the other hand, it can also incur more interference to the D2D link from DT to DR, which leads to the decrease of the maximum covert rate. As  $P_{c \max}$  is relatively small, the

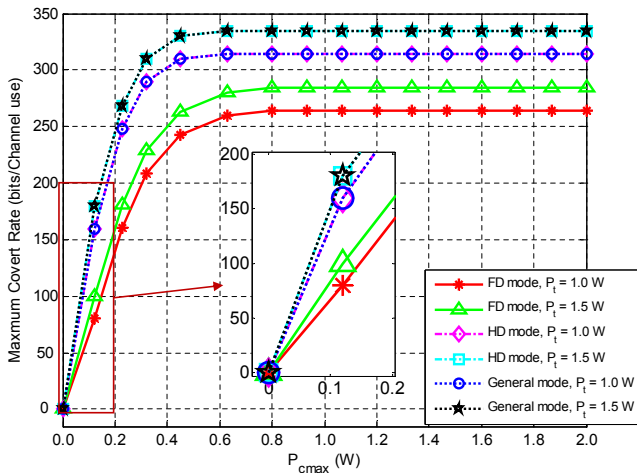


Fig. 9: Maximum covert rates versus the maximum transmit power of CE  $P_{c\max}$  under the FD, HD and general modes.

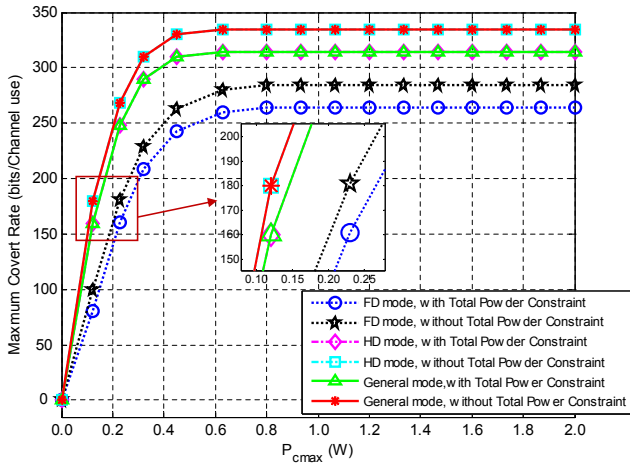


Fig. 10: The impact of  $P_{\max}$  on maximum covert rate with/without the constraints of data rate and total power.

former dominates the latter, and thus the maximum covert rate under each mode increases with the increase of  $P_{c\max}$ . As  $P_{c\max}$  further increases, the maximum covert rate achieves a maximum value and thus keeps unchanged under each mode. We can also observe from Fig. 9 that the maximum covert rates with the setting of  $P_t = 1.5 W$  are higher than these with the setting of  $P_t = 1.0 W$  under these three modes. This is because a large  $P_t$  leads to a high SINR at DR, which leads to a large maximum covert rate under each mode when the constraint of covert requirement can be satisfied.

To explore the trade-off among the data rate, energy efficiency and covert rate, we summarize in Fig. 10 how  $P_{\max}$  affects the maximum covert rate with/without the constraints of data rate and total power for these three modes. We can see from Fig. 10 that as  $P_{\max}$  increases, the maximum covert rates under all three modes without the constraint are always greater than that with the constraint. This is because the constraint of data rate and total power leads to the decrease of maximum covert rate, which achieves the trade-off among the data rate, energy efficiency and covert rate.

## VII. CONCLUSION

In this paper, we investigated the covert rate performance in a full-duplex DCNT. We theoretically model the covert rate under the FD and HD modes, respectively. Then, we optimize the transmit powers of DT, DR and CE for maximizing the corresponding covert rate. Specially, we designed a general mode to improve such performance. Remarkably, the extensive numerical simulations illustrate that our proposed general mode can achieve significant improvement in covert rate performance compared to pure HD mode or FD mode. An interesting future work is to explore the impact of node mobility on covert rate performance in DCNTs. Another promising work is to focus on the studies of covert rate performance in the multi-user scenario, where one D2D pair may be detected by more than one warden and one warden may be confused by more than one D2D receiver. Further, it is deserved to explore the covert communications of the complex multi-level structure with obstacles in our future study.

## APPENDIX A THE PROOF OF THEOREM 1

*Proof:*

Based on a step-by-step manner [49], we solve the optimization problem of (22). We first determine the monotonicity of  $\eta_{FD}$  with respect to  $P_t$ , and the monotonicity of  $\mathcal{D}(P_0^{FD} \| P_1^{FD})$  with respect to  $P_t$  and  $P_c$ , respectively. Then, we derive the optimal transmit powers of DT and CE. Finally, we derive the optimal maximum transmit power of DR, i.e.,  $P_{\max}$ .

### A. The monotonicity of $\eta_{FD}$

To determine the nonotonicity of  $\eta_{FD}$  with respect to  $P_t$ , we first calculate the first derivative of  $\gamma_r^{FD}$  with respect to  $P_t$  in (16) as

$$(\gamma_r^{FD})' |_{P_t} = \frac{|h_{tr}|^2}{\phi|h_{rr}|^2 P_r + |h_{cr}|^2 P_c + \sigma_r^2} > 0 \quad (47)$$

Thus,  $\gamma_r^{FD}$  monotonically increases with  $P_t$ .

Then, we determine the monotonicity of  $\delta_r^{FD}$  with respect to  $\gamma_r^{FD}$ .

According to [54], we know that

$$\delta_r^{FD} \approx Q(f(\gamma_r^{FD}, n, \zeta_{tr})), \quad (48)$$

where

$$Q(x) = \int_x^{+\infty} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{t^2}{2}\right) dt \quad (49)$$

and

$$f(\gamma_r^{FD}, n, \zeta_{tr}) = \ln 2 \sqrt{\frac{n}{1 - (1 + \gamma_r^{FD})^{-2}}} (\log_2(1 + \gamma_r^{FD}) - \zeta_{tr}). \quad (50)$$

We now derive the first derivative of  $\delta_r^{FD}$  with respect to  $\gamma_r^{FD}$ , and then

$$\begin{aligned} (\delta_r^{FD})' |_{\gamma_r^{FD}} &= -\frac{\exp[-(\frac{1}{2}f^2(\gamma_r^{FD}, n, \zeta_{tr}))]}{\sqrt{2\pi}} \\ &\sqrt{\frac{n}{(1+\gamma_r^{FD})^2-1}} \left[ 1 - \ln 2 \frac{\log_2(1+\gamma_r^{FD}) - \zeta_{tr}}{(1+\gamma_r^{FD})^2-1} \right]. \end{aligned} \quad (51)$$

To determine (51), let

$$h(x) = \frac{\log_2 x}{x^2 - 1}, \quad (52)$$

where  $x = 1 + \gamma_r^{FD} > 1$ . We determine the first derivative of  $h(x)$  as

$$h'(x) = \frac{\frac{1}{\ln 2} (x - \frac{1}{x}) - 2x \log_2 x}{(x^2 - 1)^2}. \quad (53)$$

We can see that the sign of  $h'(x)$  is the same as

$$v(x) = \frac{1}{\ln 2} \left( x - \frac{1}{x} \right) - 2x \log_2 x. \quad (54)$$

We further derive the first derivative of  $v(x)$  with respect to  $x$ , and then

$$v'(x) = -\frac{1}{\ln 2} \left( 1 - \frac{1}{x^2} \right) - 2 \log_2 x < 0. \quad (55)$$

Thus,  $v(x)$  decreases with  $x$ , where  $x > 1$ . Since  $v(x) < v(1) = 0$ , we have  $h'(x) < 0$ . Thus,  $h(x)$  is a decreasing function of  $x$ . We use  $h_{\max}(x)$  to denote the maximum value of  $h(x)$ . Then,

$$h_{\max}(x) = \lim_{x \rightarrow 1} h(x) = \frac{1}{2 \ln 2}. \quad (56)$$

Thus, regarding (51), we have

$$\begin{aligned} \ln 2 \frac{\log_2(1+\gamma_r^{FD}) - \zeta_{tr}}{(1+\gamma_r^{FD})^2-1} &\leq \ln 2 \frac{\log_2(1+\gamma_r^{FD})}{(1+\gamma_r^{FD})^2-1} \\ &< \ln 2 \frac{1}{2 \ln 2} = \frac{1}{2}, \end{aligned} \quad (57)$$

and then

$$1 - \ln 2 \frac{\log_2(1+\gamma_r^{FD}) - \zeta_{tr}}{(1+\gamma_r^{FD})^2-1} > 1 - \frac{1}{2} > 0. \quad (58)$$

We obtain  $(\delta_r^{FD})' |_{\gamma_r^{FD}} < 0$ . Thus,  $\delta_r^{FD}$  monotonically decreases with  $\gamma_r^{FD}$ . Similarly,  $\delta_b^{FD}$  monotonically decreases with  $\gamma_b^{FD}$ .

We further calculate the first derivative of  $\eta_{FD}$  with respect to  $\delta_r^{FD}$  as

$$(\eta^{FD})' |_{\delta_r^{FD}} = -R_{FD} (1 - \delta_b^{FD}) < 0 \quad (59)$$

Thus,  $\eta_{FD}$  decreases with  $\delta_r^{FD}$ .

Finally, we obtain that  $\eta_{FD}$  monotonically increases with  $P_t$ .

### B. The monotonicity of $\mathcal{D}(P_0^{FD} \| P_1^{FD})$

We calculate the first derivative of  $\mathcal{D}(P_0^{FD} \| P_1^{FD})$  with respect to  $\gamma_w^{FD}$  as

$$D'(P_0^{FD} \| P_1^{FD}) |_{\gamma_w^{FD}} = \frac{n\gamma_w^{FD}}{(\gamma_w^{FD} + 1)^2} > 0 \quad (60)$$

We continue to calculate the first derivative of  $\gamma_w^{FD}$  with respect to  $P_t$ ,  $P_r$  and  $P_c$ , respectively. Then, we have

$$(\gamma_w^{FD})' |_{P_t} = \frac{|h_{tw}|^2}{P_r |h_{rw}|^2 + P_c |h_{cw}|^2 + \sigma_w^2} > 0, \quad (61)$$

$$(\gamma_w^{FD})' |_{P_r} = -\frac{P_t |h_{tw}|^2 |h_{rw}|^2}{(P_r |h_{rw}|^2 + P_c |h_{cw}|^2 + \sigma_w^2)^2} < 0, \quad (62)$$

and

$$(\gamma_w^{FD})' |_{P_c} = -\frac{P_t |h_{tw}|^2 |h_{cw}|^2}{(P_r |h_{rw}|^2 + P_c |h_{cw}|^2 + \sigma_w^2)^2} < 0. \quad (63)$$

Thus,  $\mathcal{D}(P_0^{FD} \| P_1^{FD})$  monotonically increases with  $P_t$ , while monotonically decreases with  $P_r$  and  $P_c$ , respectively.

### C. Optimal transmit Power of DT

Since both the objective function  $\eta_{FD}$  and  $\mathcal{D}(P_0^{FD} \| P_1^{FD})$  in the constraint of (22b) monotonically increase with  $P_t$ , we can determine the optimal value  $P_t^*$  of  $P_t$  for maximizing  $\eta_{FD}$  and ensuring  $\mathcal{D}(P_0^{FD} \| P_1^{FD}) = 2\varepsilon^2$  with any given  $P_r$  and  $P_c$ .

Substituting (12) into  $\mathcal{D}(P_0^{FD} \| P_1^{FD}) = 2\varepsilon^2$ , we have

$$n \left[ \ln(1 + \gamma_w^{FD}) - \frac{\gamma_w^{FD}}{1 + \gamma_w^{FD}} \right] = 2\varepsilon^2. \quad (64)$$

To guarantee a low detection probability at WD,  $\gamma_w^{FD}$  is normally very small. Thus,  $\ln(1+x) \approx x$ . Then, (64) can be rewritten as

$$n \left( \gamma_w^{FD} - \frac{\gamma_w^{FD}}{1 + \gamma_w^{FD}} \right) = 2\varepsilon^2 \quad (65)$$

By solving (65), we have

$$\gamma_w^{FD} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n}. \quad (66)$$

Substituting (24) into (66), we have

$$\frac{|h_{tw}|^2 P_t}{|h_{cw}|^2 P_c + |h_{rw}|^2 P_r + \sigma_w^2} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \quad (67)$$

and thus we obtain the optimal  $P_t^*$  given in (25).

#### D. Optimal Transmit Powers of CE

We know that  $\delta_r^{FD}$  and  $\delta_b^{FD}$  monotonically decreases with  $\gamma_r^{FD}$  and  $\gamma_b^{FD}$ , respectively. We can also determine the first derivative of  $\eta_{FD}$  with respect to  $\delta_b^{FD}$  as

$$(\eta^{FD})' \Big|_{\delta_b^{FD}} = -R_{FD} (1 - \delta_r^{FD}) < 0. \quad (68)$$

Thus, based on (59), we obtain that  $\eta^{FD}$  decreases with  $\delta_r^{FD}$  and  $\delta_b^{FD}$ , respectively. Then,  $\eta^{FD}$  monotonically increases with  $\gamma_r^{FD}$  and  $\gamma_b^{FD}$ , respectively.

Based on (67), we have

$$P_t = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{cw}|^2 P_c + |h_{rw}|^2 P_r + \sigma_w^2}{|h_{tw}|^2} \quad (69)$$

and

By substituting (69) into (16), we have

$$\gamma_r^{FD} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{tr}|^2 (|h_{cw}|^2 P_c + |h_{rw}|^2 P_r + \sigma_w^2)}{|h_{tw}|^2 (|h_{rr}|^2 \phi P_r + |h_{cr}|^2 P_c + \sigma_r^2)}. \quad (70)$$

We now calculate the first derivative of  $\gamma_r^{FD}$  with respect to  $P_c$  as

$$\begin{aligned} (\gamma_r^{FD})' \Big|_{P_c} &= \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{tr}|^2}{|h_{tw}|^2} \cdot \\ &\frac{(|h_{rr}|^2 |h_{cw}|^2 \phi P_r + \sigma_r^2 |h_{cw}|^2 - |h_{rw}|^2 |h_{cr}|^2 P_r - |h_{cr}|^2 \sigma_w^2)}{(|h_{cr}|^2 P_c + |h_{rr}|^2 \phi P_r + \sigma_r^2)^2}. \end{aligned} \quad (71)$$

Note that the sign of  $\gamma_r^{FD}$  is solely determined by the term of  $|h_{rr}|^2 |h_{cw}|^2 \phi P_r + \sigma_r^2 |h_{cw}|^2 - |h_{rw}|^2 |h_{cr}|^2 P_r - |h_{cr}|^2 \sigma_w^2$ . Meanwhile, we can see from (15) that  $\gamma_b^{FD}$  monotonically increases with  $P_c$ . We need to discuss the following two cases.

Case 1:

When  $|h_{rr}|^2 |h_{cw}|^2 \phi P_r + \sigma_r^2 |h_{cw}|^2 \geq |h_{rw}|^2 |h_{cr}|^2 P_r + |h_{cr}|^2 \sigma_w^2$ , we have  $(\gamma_r^{FD})' \Big|_{P_c} \geq 0$ , which means that  $\gamma_r^{FD}$  monotonically increases with  $P_c$ . Thus, we obtain  $P_c^* = P_{c \max}$ .

Case 2:

When  $|h_{rr}|^2 |h_{cw}|^2 \phi P_r + \sigma_r^2 |h_{cw}|^2 < |h_{rw}|^2 |h_{cr}|^2 P_r + |h_{cr}|^2 \sigma_w^2$ , we have  $(\gamma_r^{FD})' \Big|_{P_c} < 0$ , which means that  $\gamma_r^{FD}$  monotonically decreases with  $P_c$ . Thus, we obtain  $P_c^* = 0$ .

#### E. Optimal the Maximum transmit Power of DR

Substituting (15) and (16) into (14) we have

$$\eta_{FD} = R_{FD} \frac{\lambda_{tb} \lambda_{rb} \lambda_{rr} \lambda_{cr} \exp[-(\alpha \sigma_b^2 + \beta \sigma_r^2)]}{\alpha \beta^2 \phi (P_t + \lambda_{tb}) (P_c + \lambda_{cr})} \cdot \nu(P_{\max}) \quad (72)$$

where,

$$\nu(P_{\max}) = \frac{1}{P_{\max}^2} \ln \left( 1 + \frac{\alpha P_{\max}}{\lambda_{tb}} \right) \ln \left( 1 + \frac{\beta \phi P_{\max}}{\lambda_{rr}} \right). \quad (73)$$

Then, we need to find an optimal  $P_{\max}$  to maximize the covert rate  $\eta_{FD}$ . This corresponds to the case that determining an optimal  $P_{\max}$  maximizes  $\nu(P_{\max})$ , which can be easily solved by a one-dimensional search method. We complete the proof of Theorem 1. ■

#### APPENDIX B

##### THE PROOF OF THEOREM 2

*Proof:*

Using the step-by-step manner, we solve this optimization problem. We first determine the monotonicity of  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD})$  with respect to  $P_t$  and  $P_c$ . To this end, we calculate the first derivative of  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD})$  with respect to  $\gamma_w^{HD}$  as

$$D'(P_0^{HD} \parallel P_1^{HD}) \Big|_{\gamma_w^{HD}} = \frac{n \gamma_w^{HD}}{(\gamma_w^{HD} + 1)^2} > 0. \quad (74)$$

Thus,  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD})$  monotonically increases with  $\gamma_w^{HD}$ .

We now continue to calculate the monotonicity of  $\gamma_w^{HD}$  with respect to  $P_t$  and  $P_c$  by solving its first derivative. Then, we have

$$(\gamma_w^{HD})' \Big|_{P_t} = \frac{|h_{tw}|^2}{P_c |h_{cw}|^2 + \sigma_w^2} > 0, \quad (75)$$

and

$$(\gamma_w^{HD})' \Big|_{P_c} = -\frac{P_t |h_{tw}|^2 |h_{cw}|^2}{(P_c |h_{cw}|^2 + \sigma_w^2)^2} < 0. \quad (76)$$

Thus, we obtain that  $\gamma_w^{HD}$  monotonically increases with  $P_t$ , while decreases with  $P_c$ . Accordingly,  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD})$  monotonically increases with  $P_t$  and decreases with  $P_c$ .

Based on the fact that both  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD})$  and  $\eta_{HD}$  are increasing functions of  $P_t$ , we know that regarding an optimal value  $P_t^*$  of  $P_t$ , the constraint  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD}) \leq 2\varepsilon^2$  is always guaranteed for any fixed  $P_c$  and  $P_r$ . We now determine the optimal  $P_t^*$ .

Substituting (36) into  $\mathcal{D}(P_0^{HD} \parallel P_1^{HD}) = 2\varepsilon^2$ , we have

$$n \left[ \ln(1 + \gamma_w^{HD}) - \frac{\gamma_w^{HD}}{1 + \gamma_w^{HD}} \right] = 2\varepsilon^2. \quad (77)$$

Using  $x$  to approximate  $\ln(1 + x)$ , (77) can be rewritten as

$$n \left( \gamma_w^{HD} - \frac{\gamma_w^{HD}}{1 + \gamma_w^{HD}} \right) = 2\varepsilon^2. \quad (78)$$

By solving (78), we have

$$\gamma_w^{HD} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n}. \quad (79)$$

By substituting (37) into (79), we obtain

$$\frac{|h_{tw}|^2 P_t}{|h_{cw}|^2 P_c + \sigma_w^2} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n}. \quad (80)$$

Thus, the optimal  $P_t^*$  can be obtained in (38).

We proceed to derive the optimal  $P_c^*$ . Since  $\delta_r^{HD}$  monotonically decreases with  $\gamma_r^{HD}$ , the objective function  $\eta^{HD}$  monotonically increases with  $\gamma_r^{HD}$ . We only need to determine the monotonicity of  $\gamma_r^{HD}$  with respect to  $P_c$ .

Based on (80) and (30),  $\gamma_r^{HD}$  can be expressed as

$$\gamma_r^{HD} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{tr}|^2 (|h_{cw}|^2 P_c + \sigma_w^2)}{|h_{tw}|^2 (|h_{cr}|^2 P_c + \sigma_r^2)}. \quad (81)$$

We calculate the first derivative of  $\gamma_r^{HD}$  with respect to  $P_c$  as

$$(\gamma_r^{HD})' |_{P_c} = \frac{\varepsilon^2 + \sqrt{\varepsilon^4 + 2\varepsilon^2 n}}{n} \cdot \frac{|h_{tr}|^2}{|h_{tw}|^2} \cdot \frac{(|h_{cw}|^2 \sigma_r^2 - |h_{cr}|^2 \sigma_w^2)}{(|h_{cr}|^2 P_c + \sigma_r^2)^2}. \quad (82)$$

Note that the sign of  $\gamma_r^{HD}$  is solely determined by the term of  $(|h_{cw}|^2 \sigma_r^2 - |h_{cr}|^2 \sigma_w^2)$ . Thus, we consider the following two cases:

Case 1: When  $|h_{cw}|^2 \sigma_r^2 \geq |h_{cr}|^2 \sigma_w^2$ , we have  $(\gamma_r^{HD})' |_{P_c} \geq 0$ . Thus,  $\gamma_r^{HD}$  monotonically increases with  $P_c$ . Since the first derivative of  $\gamma_b^{HD}$  in (29) is determined as

$$(\gamma_b^{HD})' |_{P_c} = \frac{|h_{cb}|^2}{|h_{tb}|^2 P_t + \sigma_b^2} > 0 \quad (83)$$

$\gamma_b^{HD}$  monotonically increases with  $P_c$ . Finally, we obtain  $P_c^* = P_{c \max}$ .

Case 2: When  $|h_{cw}|^2 \sigma_r^2 < |h_{cr}|^2 \sigma_w^2$ , we have  $(\gamma_r^{HD})' |_{P_c} < 0$ . Thus,  $\gamma_r^{HD}$  monotonically decreases with  $P_c$ . To achieve the maximization of  $\eta_{HD}$ ,  $P_c$  needs to set as small as possible. So, we have  $P_c^* = 0$ . Then, (38) and (39) follow. We complete the proof of Theorem 2. ■

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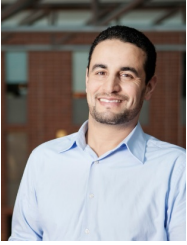
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